Key Spread Spectrum Techniques to Reduce EMI

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• Senior FAE supporting automotive Tier-1 customers throughout Germany
• Over two decades of experience managing EMI challenges
• Deeply involved in the definition and compliance testing of our leading AEC-Q100 power management solutions
• 22 years at Linear Technology
  o Strategic Marketing Manager for Europe – Product definition and product support for PSU and LED circuits
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  o Design Engineer, Quality Assurance, Materials Engineer
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Motivation

Often results are just a hair or two above the limits. So what can you do?
The typical PSU switch excitation source creates a flat periodic “fence” of spurs in the frequency domain, spaced by the switching frequency.
At a certain frequency, it will attenuate like a high order low pass.

For most modern hard switch designs, there should not be much energy left above 500MHz.

PSUs have harmonics into the high triple digits. Spread spectrum on high harmonics is seldom covered in literature.
How Does Duty Cycle Affect the Lower Harmonics?
How Does Duty Cycle Affect the Lower Harmonics?

At 50% duty cycle, all even harmonics disappear.

Lower harmonics distribution depends on duty cycle $= \frac{V_{\text{OUT}}}{V_{\text{IN}}}$ for a buck topology and can be derived by fourier transformation of the switch node waveform.

Harmonic energy goes up with input voltage.
How Does Switching Frequency Affect the EMI <10MHz?

Switching Frequency

Vsw
How Does Switching Frequency Affect EMI 150kHz-300MHz?
EMI Receiver According to CISPR 16-1-1

Block Diagram of an EMI Receiver

RBW – Resolution Bandwidth Filter
9 KHz  <30MHz
120 KHz  >30MHz

The RBW filter moves with constant velocity (frequency change) through the receiver span from Freq start to Freq stop. This is a convolution operation in the frequency domain.
EMI Receiver Spectral View

Area where you can still hide spur energy that the EMI receiver does not see

\( f_{SW} \), \( 2f_{SW} \), \( 3f_{SW} \), \( 4f_{SW} \), \( 5f_{SW} \), \( 6f_{SW} \)
EMI Receiver Spectral View

Ideal would be a white noise like spectrum
### EMI Receiver Spectral View

**Optimal Attenuation**

\[
\text{Attenuation} = 10 \times \log_{10} \left( \frac{RBW}{f_{SW}} \right)
\]

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**Maximum Achievable Spread Spectrum Attenuation**

<table>
<thead>
<tr>
<th>RBW [kHz]</th>
<th>f_{SW} [kHz]</th>
<th>0.15MHz–30MHz [dB]</th>
<th>30MHz–1GHz [dB]</th>
<th>&gt;1GHz [dB]</th>
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<tr>
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<td>100000</td>
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<td>-29.2</td>
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*Lower attenuation >30 MHz*
If the carrier stays longer than the bandpass impulse response time $\frac{1}{RBW}$ inside the passband, there will be no attenuation through the RBW filter, and you see the spurs’ original energy.

\[
\frac{1}{9kHz} = 111\mu s \\
\frac{1}{120kHz} = 8.33\mu s
\]
RBW Filter Time Domain View of Spur in the Frequency Domain

To get attenuation through spectral movement, one needs to rip with spurs faster through the RBW filter than their time domain impulse response.

The speed at which the frequency changes needs to be faster than:

\[
\frac{\text{RBW}}{\text{Impulse response time}} = \frac{\text{RBW}}{1/\text{RBW}} = RBW^2 = a \text{ with be measured in } \frac{\text{Hz}}{s} \text{ or in } \frac{\text{Periods}}{s^2}
\]

According to CISPR16 / 22 / 25, for the bands to get attenuation in PK mode at all the frequency change (speed or sweep) needs to be faster than:

- 150kHz to 30MHz with RBW = 9kHz > 81MHz/s
- 30MHz to 1GHz with RBW = 120KHz > 14.4GHz/s
- >1GHz with RBW = 1MHz > 1THz/s
Signal needs to be somewhat periodic (can’t move constant to infinity).

Constant energy for no additional output ripple => FM = frequency modulation

- **Modulation frequency (FM)**: The repetitive frequency $FM = 1/\text{period}$ where the modulation waveform repeats.
- **Modulation depth** $(\Delta f) = f_{\text{MAX}} - f_{\text{MIN}}$: The span or stroke of the modulated signal
- **Modulation index** $h = \frac{\Delta f}{FM}$: Goes linear up with harmonic number
FM Modulation Attenuation

Modulation depth $\Delta f$ rises linearly with the harmonic number of the spur = $N \times \Delta f$

The same applies for the modulation index $h = \frac{N \times \Delta f}{f_{m}}$

Modulation index $h = \frac{\Delta f}{f_{m}}$

High harmonic numbers easily reach a large modulation index.

However, for fundamental and low harmonic numbers, a low modulation frequency is needed.

This violates the time domain view that is needed to be much faster than 111µs or 8.33µs out of the RBW window.
Low Modulation Index / High Modulation Frequency

Too high modulation frequency = low modulation index makes only a few spurs with little attenuation.

For the 9kHz RBW area <30MHz, a modulation frequency of about 9kHz gives best results.
Too high a modulation frequency makes only a few spurs with little attenuation. For the 9kHz RBW area <30MHz, a modulation frequency of about 9kHz gives best results.

Too low modulation frequencies give little attenuation for the fundamental and low harmonics.
150kHz to 30MHz View Over Modulation Span

A high modulation span will give good attenuation results, but is demanding on the regulation loop.

Modulation index goes up with modulation span $\Delta f$

$$h = \frac{\Delta f}{f_m}$$
For the 120kHz RBW frequency range, >30MHz high modulation frequency would be best because their spurs still “move” fast enough against the >14.4GHz/s that the 120kHz RBW filter impulse response requires.

The absolute possible attenuation is a lot lower due to the 120kHz RBW filter used above 30MHz.
Modulation Frequency

Low RBW filter impulse response
Spur stays too long inside RBW

Low modulation index
Too few spurs with too much individual energy

9kHz for <30MHz range or
120kHz for >30MHz range
For a given frequency span, the modulation frequency should not be too high, or too low.

High modulation frequencies = low modulation index (bad).
Low modulation frequencies = low RBW filter impulse response attenuation (bad).

For typical modulation spans, modulation frequencies around the RBW seem to work best. That is typically 9kHz for the <30MHz range, and 120kHz for the >30MHz region.
Usually, you optimize for the 9kHz RBW range since here get the most attenuation (reward).

Now, what is the best modulation waveform shape?

We know it should be a constant velocity in Hz/s. A high number would be good, but if it is periodic, modulation frequency must come back to its start.
The problem here is that in the same time (t1) makes only half of the frequency change.
The $\frac{df}{dt}$ here is high or infinity, which is good. However, the abrupt frequency change often creates additional output ripple in fixed frequency designs.
Modulation Waveforms – “Hershey kiss” and Stepped Triangular

\[ \Delta f = \text{Constant } \frac{df}{dt} \]
Spread Spectrum Implementation for Parts without Internal FSS

If they have a resistor program FREQ pin
Monopole 150kHz-30MHz Radiated Results for MPQ4430
Digital Implementation in the MPQ8875
Spread Spectrum Benefits

Spectral method spread spectrum works independently from other EMI mitigation methods like filtering, layout, and shielding. Therefore, fixed frequency results provide additional attenuation from spread spectrum, regardless of whether one measures conducted or radiated.

A good engineering practice is to develop and test the switch mode PSU first with fixed frequency. Using spread spectrum from the beginning may hide an excessive jitter or stability issue if focused at the switch node.

General spread spectrum adds minimal additional output ripple to fixed frequency designs. On non-fixed frequency loop topologies like COT, hysteretic, and fixed ripple, one might not see anything additional at the output.

Transient response is not affected unless excessive frequency spans are used.
What is the optimum switching Frequency

EMI goes general up with voltage on the switch node by 6dB for every 2x switch voltage

So for 5V or 3.3V Vin switchers you get away with >2MHz switching frequency. Keep the inductors small and low profile.

For higher Vsw switchers like 12V and more keep the switching frequency as low as practical typical sub AM band.

EMI goes up 6dB on a fixed frequency switcher for every frequency doubling and even perfect spread spectrum buys you only 3dB back.
Even fixed frequency switcher have some phase noise (FM) which will multiply by the harmonic number. So expect some natural FM attenuation on high harmonic numbers (i.e. >80MHz).

On non fixed frequency schemes like COT those natural phase noise / FM is even more pronounced. This gives you some spread spectrum effect for free.

If you sync your switchers to a common high quality oscillator you lose all those benefits and wind up with a perfect comb spectrum up to very high harmonics.

Sync to a high quality oscillator only when your system makes it absolutely necessary.
Spread spectrum is an excellent tool to reduce EMI with little additional cost

The optimum modulation frequency for each EMI RBW is around the RBW frequency

Optimize with spread spectrum for 9kHz RBW in the <30MHz frequency band

With spread spectrum for <30MHz, one saves the most with reduced filter and shielding requirements

Test PSU with a fixed frequency setting

Parts with a FREQ setting resistor can be easily made spread spectrum

Excessive frequency span only helps for the first few harmonics, not in the overlap region

The effect of 120kHz RBW or more (>30Mhz range) offers limited improved optimizing for 9kHz RBW
How many dBs reduction can I get from the MPS spread spectrum feature?

See table on slide 14

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FAQ: Question 2

Q: Does spread spectrum affect the transient response of a DC/DC buck regulator?

A: It has almost no effect since the mean switching frequency stays the same.
Q: Which algorithm is used to generate spread spectrum inside MPS’s DC/DC converters?

A: Analog triangle or stepped triangle generators are used as well as digital implementations. As long as the digital implementation changes the frequency value on each switch node cycle, there is no difference in the resulting spectrum and attenuation.
Q: Which frequency modulation envelope is more effective: sawtooth, triangle, or sinusoid?

A: Sawtooth and stepped triangle are the most effective. However, sawtooth makes a jump over the complete range in one step, which can introduce loop perturbation for the switcher. Because of this, a more continuous method like triangle or stepped triangle are often used.

Sinusoid or even rectangular modulation stay at the top and bottom signal too long around a small frequency range (inside the RBW), which results in poor attenuation.
Q: What is the cost difference, if any, to be expected in a SS part vs. non-SS part? Will there be an overall reduction in system cost (capacitors, inductor, filters)?

A: The additional audio frequency modulator adds very little area on the device, so the additional cost is low. The biggest solution cost improvement is on the filter requirements for the lower frequency area from switching frequency to the first harmonics.

Often, spread spectrums save the complete solution, so ultimately only you can answer what your project is worth.
FAQ: Question 6

Q: Does spread spectrum really help the EMI? Or just cheat the test equipment?

A: The total emitted energy into the universe stays the same. You change the spectral distribution.
Q: Which kind of EMI does it help? CE? RE? <30MHz? >30MHz?

A: All are the same. Spread spectrum is a spectral method, and always gives additional dB attenuation to conventional methods like filtering, layout, and shielding.

Therefore, it helps the same for both conducted and radiated, and gives the same dB attenuation at any frequency, whether radiated and conducted.

With the different RBW (resolution bandwidth) of 9kHz <30MHz, 120kHz >30MHz, and 1MHz >1GHz, in some OEM defined ranges spread spectrum attenuates the most for low RBW like 9kHz.

See slide 16 for carrier frequency change minimums.

150kHz to 30MHz with RBW = 9kHz   > 81MHz/s
30MHz to 1GHz    with RBW = 120KHz  > 14.4GHz/s
>1GHz            with RBW = 1MHz     > 1THz/s
Q: Are there any secret military or patented spread spectrum methods to get more attenuation?

A: Spread spectrum works to the basic principle of quantum physics according to Heisenberg’s uncertainty principle (frequency form) \( \Delta f \times \Delta t \leq 1 \)

The best method is to spread signal energy evenly over the spectrum. For a comb-style periodic spectrum, only the area in between two comb teeth can be filled.

This reduces the possible attenuation to the formula from slide 14:

\[
\text{Attenuation} = 10 \times \log_{10} \left( \frac{RBW}{f_{sw}} \right)
\]
Q: Why is random or pseudo-random modulation a bad choice?

A: PSU spread spectrum has to use a constant power modulation scheme, which is FM. FM gives a quadratic spectral energy between ±Carson frequency. Therefore, low modulation frequencies make more spectral energy than high ones for the same frequency span.

That is the reason that audio FM modulation uses pre-emphasis (50µs in Europe 75µs in US). Otherwise, the signal to noise ratio for high frequencies would be much lower than for low frequencies. Low carrier movement staying inside the RBW does not give any attenuation at all.

White noise/random or pseudo-random yields a flat frequency response. One would have to differentiate the noise signal (high frequencies amplified), pre-emphasize it, and cut out the low amplitude area, which would result in the carrier staying inside the RBW. The high frequency range requires limiting the energy giving a low modulation index. In total, one would have to bandpass, pre-emphasize, and omit low-amplitude changes (inside RBW). In the end, the signal would not be much different from a stepped triangle.

Currently, white noise/pseudo-random generators give lower attenuation than otherwise possible for the above reasons.
NEW: Office & EMI Lab in Ettenheim/Germany (Opening Q2/2021)

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